



OFFICE OF SHABBIR A. KHAN
San Joaquin County
Treasurer & Tax Collector
P.O. Box 2169
Stockton, CA 95201
Tel (209) 468-2133 Fax (209) 468-2158

SAN JOAQUIN COUNTY
TREASURY BALANCE
October 31, 2011

I, Shabbir A. Khan, Treasurer, County of San Joaquin, State of California, do hereby certify that on this 31ST day of OCTOBER, 2011 the books of said County showed that there was \$1,441,064,855.58 ONE BILLION, FOUR HUNDRED FORTY ONE MILLION, SIXTY FOUR THOUSAND, EIGHT HUNDRED FIFTY FIVE DOLLARS AND FIFTY EIGHT CENTS in the Treasury as follows:

Currency and Vault	\$140,235.37
Investments: (See Attached)	
Bankers Acceptance	\$0.00
Commercial Paper	\$129,985,573.61
U.S Treasury	\$0.00
Repurchase Agreements	\$0.00
L.A.I.F.	\$50,000,000.00
Federal Agencies	\$921,038,798.56
Certificates of Deposit	\$0.00
Medium Term Notes	\$0.00
California Go Refunding Bonds	\$103,727,885.50
California Revenue Anticipation Notes	\$101,214,000.00
Rabobank Laif Indexed Money Market Account	\$50,000,000.00
Wells Fargo Sweep	\$57,935,744.34
Treasury Bank Balance-Excludes deposits not in CAPS	\$27,022,618.20
TOTAL TREASURY BALANCE:	\$1,441,064,855.58

Shabbir A. Khan
Shabbir A. Khan
Treasurer & Tax Collector

SAN JOAQUIN COUNTY
DAILY LIST OF INVESTMENTS
October 31, 2011

CAT	SETTLEMENT DATE	DEALER	PAR VALUE	MATURITY DATE	PRICE	YIELD	MARKET VALUE	TOTAL INTEREST	X YIELD	DAY TO MATURITY	PRINCIPAL X ORIG # DAYS
CP	09/27/11	TOYOTA	\$15,000,000.00	11/04/11	0.130	0.13%	\$14,999,700.00	\$10,541.67	\$17,488.40	10/31/11	\$3,669,741,555.58
CP	10/07/11	TOYOTA	\$25,000,000.00	11/16/11	0.130	0.13%	\$24,997,000.00	\$6,022.00	\$10,981.08	10/31/11	\$2,989,458.33
CP	10/03/11	GECC	\$40,000,000.00	11/17/11	0.110	0.11%	\$39,994,000.00	\$1,256.00	\$3,232.41	10/31/11	\$39,994,000.00
CP	10/20/11	GE CO.	\$30,000,000.00	11/17/11	0.110	0.11%	\$29,995,500.00	\$1,770.83	\$3,483.31	10/31/11	\$29,995,500.00
CP	10/28/11	WALMART	\$30,000,000.00	12/09/11	0.060	0.06%	\$29,986,300.00	\$886.11	\$10,993.95	10/31/11	\$29,986,300.00
BA			\$129,985,571.61				\$129,973,700.00		\$17,998.74	10/31/11	\$129,973,700.00
FA	06/16/11	UN BANC	\$25,000,000.00	11/01/11	0.110	0.11%	\$24,989,458.33	\$10,541.67	\$17,488.40	10/31/11	\$24,989,458.33
FA	06/27/11	UN BANC	\$26,100,000.00	11/02/11	0.065	0.07%	\$26,100,000.00	\$6,022.00	\$10,981.08	10/31/11	\$26,100,000.00
FA	05/18/11	ML	\$3,999,434.72	11/07/11	0.095	0.10%	\$3,999,434.72	\$1,256.00	\$3,232.41	10/31/11	\$3,999,434.72
FA	06/24/11	UN BANC	\$9,999,250.00	11/21/11	0.090	0.09%	\$9,999,250.00	\$1,770.83	\$3,483.31	10/31/11	\$9,999,250.00
FA	06/27/11	RBC	\$18,500,000.00	11/23/11	0.125	0.13%	\$18,499,796.50	\$11,177.08	\$23,111.03	10/31/11	\$18,499,796.50
FA	12/30/10	UN BANC	\$20,000,000.00	11/23/11	0.290	0.10%	\$19,991,929.17	\$8,070.83	\$19,492.13	10/31/11	\$19,991,929.17
FA	06/24/11	UN BANC	\$15,000,000.00	11/30/11	0.290	0.10%	\$14,999,700.00	\$4,027.50	\$4,383.31	10/31/11	\$14,999,700.00
FA	06/15/09	UN BANC	\$15,000,000.00	11/30/11	0.105	0.11%	\$14,993,437.50	\$6,956.25	\$17,427.00	10/31/11	\$14,993,437.50
FA	06/27/11	RBC	\$40,000,000.00	12/21/11	2.000	2.00%	\$40,103,200.00	\$811,111.11	\$900,000.00	10/31/11	\$40,103,200.00
FA	06/28/11	USBS	\$7,932,514.55	11/21/11	0.115	0.12%	\$7,932,514.55	\$4,485.45	\$9,117.79	10/31/11	\$7,932,514.55
FA	01/25/11	MESSB	\$16,999,490.00	11/27/11	0.110	0.11%	\$16,999,490.00	\$1,416.67	\$4,884.63	10/31/11	\$16,999,490.00
FA	01/25/11	MESSB	\$9,971,000.00	01/20/12	0.290	0.29%	\$9,999,500.00	\$29,000.00	\$28,918.89	10/31/11	\$9,999,500.00
FA	01/26/11	WF	\$19,941,163.89	01/20/12	0.295	0.30%	\$19,999,210.00	\$58,826.43	\$58,826.43	10/31/11	\$19,999,210.00
FA	01/25/12	WF	\$9,969,583.33	02/08/12	0.300	0.30%	\$9,999,500.00	\$30,416.67	\$29,908.75	10/31/11	\$9,999,500.00
FA	09/19/11	UN BANC	\$17,000,000.00	02/08/12	0.070	0.07%	\$16,998,640.00	\$4,693.89	\$11,896.71	10/31/11	\$17,000,000.00
FA	02/10/12	PHIL-DN	\$16,935,111.11	02/10/12	0.320	0.32%	\$16,998,400.00	\$64,888.89	\$63,792.36	10/31/11	\$16,998,400.00
FA	02/17/12	PHIL-DN	\$19,992,300.00	02/17/12	0.090	0.09%	\$19,998,200.00	\$7,700.00	\$17,993.07	10/31/11	\$19,998,200.00
FA	02/22/12	PHIL-DN	\$9,972,000.00	02/22/12	0.270	0.27%	\$9,999,000.00	\$4,983.33	\$10,743.55	10/31/11	\$9,999,000.00
FA	03/14/12	PHIL-DN	\$29,889,187.64	03/14/12	0.075	0.08%	\$29,995,500.00	\$10,812.50	\$22,491.89	10/31/11	\$29,995,500.00
FA	03/16/12	PHIL-DN	\$34,910,769.44	03/16/12	0.260	0.26%	\$34,994,750.00	\$99,230.56	\$97,658.00	10/31/11	\$34,994,750.00
FA	03/28/12	PHIL	\$8,991,245.00	03/28/12	0.250	0.25%	\$8,995,490.00	\$3,005.00	\$25,645.00	10/31/11	\$8,995,490.00
FA	03/28/12	PHIL	\$19,992,458.33	03/28/12	0.075	0.08%	\$19,996,800.00	\$7,541.67	\$14,994.11	10/31/11	\$19,996,800.00
FA	06/20/12	PHIL	\$29,999,200.00	06/20/12	0.160	0.17%	\$30,007,500.00	\$25,400.00	\$50,998.64	10/31/11	\$30,007,500.00
FA	04/02/12	PHIL	\$4,981,658.84	04/02/12	1.200	1.27%	\$4,992,780.00	\$15,970.00	\$37,235.23	10/31/11	\$4,992,780.00
FA	04/20/12	PHIL	\$16,129,440.00	04/20/12	1.875	0.25%	\$16,128,800.00	\$41,200.00	\$40,323.60	10/31/11	\$16,128,800.00
FA	06/25/12	PHIL	\$14,993,250.00	06/25/12	0.090	0.09%	\$14,995,650.00	\$6,750.00	\$14,493.93	10/31/11	\$14,995,650.00
FA	06/20/12	PHIL	\$16,984,895.00	06/20/12	0.210	0.21%	\$16,990,990.00	\$35,105.00	\$35,626.28	10/31/11	\$16,990,990.00
FA	06/20/12	PHIL	\$23,529,197.00	06/20/12	1.875	0.23%	\$23,586,161.50	\$54,865.50	\$54,337.15	10/31/11	\$23,586,161.50
FA	06/22/12	PHIL	\$10,000,000.00	06/22/12	1.250	0.24%	\$10,064,100.00	\$24,100.00	\$23,737.12	10/31/11	\$10,064,100.00
FA	06/28/12	PHIL	\$29,997,500.00	06/28/12	0.230	0.24%	\$29,988,300.00	\$71,700.00	\$70,492.66	10/31/11	\$29,988,300.00
FA	06/29/12	PHIL	\$14,188,864.00	06/29/12	0.200	0.21%	\$14,185,374.00	\$29,536.00	\$29,107.67	10/31/11	\$14,185,374.00
FA	07/18/14	UN BANC	\$40,000,000.00	10/31/12	1.300	1.27%	\$40,054,000.00	\$900,000.00	\$508,000.00	10/31/11	\$40,054,000.00
FA	09/30/12	PHIL	\$40,000,000.00	09/30/12	0.750	0.75%	\$39,771,975.70	\$900,000.00	\$300,000.00	10/31/11	\$39,771,975.70
FA	09/30/12	PHIL	\$22,939,587.00	09/30/12	0.750	0.75%	\$22,804,294.80	\$511,450.50	\$162,871.07	10/31/11	\$22,804,294.80
FA	10/17/14	WF	\$50,000,000.00	10/17/14	0.700	0.70%	\$49,734,500.00	\$1,050,000.00	\$350,000.00	10/31/11	\$49,734,500.00
RP			\$921,038,798.56				\$920,954,232.01		\$62,078.461	245.10	\$920,954,232.01
CD			\$0.00				\$0.00		\$0.00		\$0.00
MTR			\$0.00				\$0.00		\$0.00		\$0.00
CA GO REF BND	10/27/11	WF	\$20,000,000.00	10/01/13	3.000	1.05%	\$20,772,008.30	\$414,486.67	\$317,793.10	10/31/11	\$20,772,008.30
CA GO REF BND	10/27/11	WF	\$10,000,000.00	10/01/13	3.000	1.05%	\$10,509,131.70	\$165,786.67	\$127,117.24	10/31/11	\$10,509,131.70
CA GO REF BND	09/01/14	UN BANC	\$10,886,800.00	09/01/14	4.000	0.92%	\$10,763,862.78	\$283,200.00	\$100,158.56	10/31/11	\$10,763,862.78
CA GO REF BND	09/01/14	UN BANC	\$18,000,000.00	09/01/14	4.000	0.92%	\$17,527,617.22	\$566,400.00	\$200,317.12	10/31/11	\$17,527,617.22
CA GO REF BND	10/01/14	UN BANC	\$13,566,180.00	10/01/14	4.000	0.98%	\$13,375,020.00	\$539,820.00	\$190,770.26	10/31/11	\$13,375,020.00
CA GO REF BND	10/01/14	UN BANC	\$22,462,225.50	10/01/14	2.125	2.06%	\$22,462,272.00	\$1,387,953.13	\$462,721.85	10/31/11	\$22,462,272.00
CA RANS	09/22/11	WF	\$100,000,000.00	06/25/12	2.000	0.40%	\$101,086,000.00	\$308,222.22	\$404,856.00	10/31/11	\$101,086,000.00
RABOBANK	05/19/10		\$50,000,000.00		0.380	0.38%	\$50,000,000.00	\$34,008.94	\$190,000.00	10/31/11	\$50,000,000.00
SWEEP			\$50,000,000.00		0.050	0.05%	\$50,000,000.00	\$0.00	\$28,987.87	10/31/11	\$50,000,000.00
LAUF			\$50,000,000.00		0.380	0.38%	\$50,000,000.00	\$47,947.61	\$190,000.00	10/31/11	\$50,000,000.00
TOTAL			\$1,413,902,002.01				\$1,413,460,859.35	\$5,278,925.65	\$5,278,925.65		\$5,278,925.65

All investments are in compliance with the Investment policy. The weighted average maturity of the investments within the treasury pool, assuming all callable bonds are held to maturity, is 257 days. Market values are based on information from the trustee, broker, the Wall Street Journal, or other sources approved by the treasurer. Based on revenue and expenditure projections and information known to the treasurer, the local agency will be able to meet its pool's expenditure requirement for the next 6 months