



**OFFICE OF SHABBIR A. KHAN**  
**San Joaquin County**  
**Treasurer & Tax Collector**  
P.O. Box 2169  
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SAN JOAQUIN COUNTY  
TREASURY BALANCE  
September 30, 2011

I, Shabbir A. Khan, Treasurer, County of San Joaquin, State of California, do hereby certify that on this 30TH day of SEPTEMBER, 2011 the books of said County showed that there was \$1,509,531,467.14 ONE BILLION, FIVE HUNDRED NINE MILLION, FIVE HUNDRED THIRTY ONE THOUSAND, FOUR HUNDRED SIXTY SEVEN DOLLARS AND FOURTEEN CENTS in the Treasury as follows:

Currency and Vault	\$65,655.42
Investments: (See Attached)	
Bankers Acceptance	\$0.00
Commercial Paper	\$79,990,136.10
U.S Treasury	\$0.00
Repurchase Agreements	\$0.00
L.A.I.F.	\$50,000,000.00
Federal Agencies	\$996,835,667.24
Certificates of Deposit	\$0.00
Medium Term Notes	\$0.00
California Go Refunding Bonds	\$52,226,580.00
California Revenue Anticipation Notes	\$101,214,000.00
Rabobank Laif Indexed Money Market Account	\$50,000,000.00
Wells Fargo Sweep	\$162,771,496.56
Treasury Bank Balance-Excludes deposits not in CAPS	\$16,427,931.82
<b>TOTAL TREASURY BALANCE:</b>	<b>\$1,509,531,467.14</b>

*Shabbir A. Khan*  
Shabbir A. Khan  
Treasurer & Tax Collector

SAN JOAQUIN COUNTY  
DAILY LIST OF INVESTMENTS  
September 30, 2011

CAT	SETTLEMENT DEALER	PAR VALUE	DESCRIPTION	MATURITY DATE	PRINCIPAL PRICE	YIELD	MARKET VALUE	TOTAL INTEREST	PRINCIPAL	DAY TO MATURITY	PRINCIPAL ORIG # DAYS
BA		\$0.00	0.0%		\$0.00		\$0.00				\$0.00
FA	05/02/11 MSSS	\$15,000,000.00	FNMA-DN	10/12/11	\$14,991,510.42	0.12%	\$14,999,850.00	\$4,489.58	\$18,739.39	09/30/11	12
FA	06/02/11 UN BANC	\$25,000,000.00	FLHMC-DN	10/14/11	\$27,999,720.00	0.09%	\$27,999,720.00	\$0.00	\$25,191.58	09/30/11	14
FA	05/11/11 ML	\$36,860,000.00	FLHMC-DN	10/24/11	\$36,864,703.10	0.091	\$36,859,631.40	\$15,296.90	\$33,528.68	09/30/11	24
FA	05/04/11 ML	\$10,000,000.00	FNMA-DN	10/26/11	\$9,994,895.83	0.095	\$9,999,900.00	\$4,116.67	\$9,496.09	09/30/11	26
FA	05/23/11 ML	\$15,000,000.00	FNMA-DN	10/31/11	\$14,999,900.00	0.120	\$14,999,700.00	\$9,100.00	\$17,889.48	09/30/11	31
FA	05/02/11 UN BANC	\$25,000,000.00	FLHMC-DN	11/01/11	\$24,999,500.00	0.12%	\$24,999,500.00	\$0.00	\$22,881.46	09/30/11	32
FA	06/27/11 UN BANC	\$10,000,000.00	FNMA-DN	11/07/11	\$9,995,434.72	0.095	\$9,999,800.00	\$4,565.28	\$9,492.12	09/30/11	38
FA	06/28/11 ML	\$43,200,000.00	FNMA-DN	11/09/11	\$43,188,744.00	0.070	\$43,199,136.00	\$11,256.00	\$30,232.12	09/30/11	40
FA	06/24/11 UN BANC	\$10,000,000.00	FLHMC-DN	11/21/11	\$9,996,250.00	0.090	\$9,999,700.00	\$1,770.00	\$8,996.03	09/30/11	52
FA	06/02/11 ML	\$18,500,000.00	FNMA-DN	11/23/11	\$18,488,822.92	0.125	\$18,499,426.50	\$11,177.08	\$21,111.03	09/30/11	54
FA	06/27/11 ML	\$20,000,000.00	FNMA-DN	11/23/11	\$19,991,929.17	0.098	\$19,999,418.50	\$8,070.83	\$19,492.13	09/30/11	54
FA	12/30/10 WF	\$15,000,000.00	FLHMC-DN	11/28/11	\$14,999,762.50	0.200	\$14,999,550.00	\$4,027.50	\$43,383.31	09/30/11	59
FA	06/24/11 UN BANC	\$15,000,000.00	FNMA-DN	11/30/11	\$14,993,043.75	0.105	\$14,999,550.00	\$6,506.25	\$15,742.70	09/30/11	61
FA	06/27/11 UN BANC	\$9,999,900.00	FNMA-DN	12/01/11	\$9,998,749.11	0.115	\$9,999,800.00	\$8,050.89	\$9,492.12	09/30/11	61
FA	06/27/11 RBC	\$7,833,000.00	FNMA-DN	12/21/11	\$7,828,514.55	0.115	\$7,832,882.68	\$4,485.45	\$9,117.79	09/30/11	82
FA	01/27/11 WF	\$17,000,000.00	FLHMC-DN	12/27/11	\$16,990,546.11	0.110	\$16,999,150.00	\$9,453.89	\$18,689.60	09/30/11	88
FA	01/27/11 WF	\$15,000,000.00	FLHMC-DN	01/17/12	\$14,958,583.33	0.280	\$14,999,100.00	\$4,116.67	\$14,894.03	09/30/11	109
FA	01/25/11 MSSS	\$10,000,000.00	FLHMC-DN	01/20/12	\$9,971,000.00	0.290	\$9,999,390.00	\$29,000.00	\$28,915.90	09/30/11	112
FA	01/26/11 WF	\$20,000,000.00	FLHMC-DN	01/20/12	\$19,941,163.89	0.295	\$19,998,510.00	\$58,836.11	\$58,836.43	09/30/11	112
FA	01/25/11 WF	\$10,000,000.00	FLHMC-DN	01/25/12	\$9,969,583.33	0.300	\$9,999,400.00	\$30,416.67	\$29,908.75	09/30/11	117
FA	01/29/11 UN BANC	\$17,000,000.00	FLHMC-DN	02/08/12	\$16,998,306.11	0.070	\$16,998,810.00	\$4,683.89	\$11,886.71	09/30/11	131
FA	01/25/11 UN BANC	\$10,000,000.00	FLHMC-DN	02/07/12	\$9,999,900.00	0.090	\$9,999,400.00	\$0.00	\$9,999.00	09/30/11	131
FA	01/16/11 UN BANC	\$20,000,000.00	FNMA-DN	02/12/12	\$19,972,700.00	0.070	\$19,998,400.00	\$7,700.00	\$21,933.29	09/30/11	140
FA	02/23/11 WF	\$10,000,000.00	FLHMC-DN	03/05/12	\$9,972,700.00	0.270	\$9,999,200.00	\$27,300.00	\$26,936.29	09/30/11	145
FA	09/23/11 UN BANC	\$25,000,000.00	FNMA-DN	03/14/12	\$24,991,406.25	0.075	\$24,996,750.00	\$8,593.75	\$18,743.55	09/30/11	157
FA	03/29/11 UN BANC	\$30,000,000.00	FNMA-DN	03/14/12	\$29,989,187.50	0.075	\$29,995,800.00	\$10,812.50	\$22,491.89	09/30/11	166
FA	03/29/11 WF	\$35,000,000.00	FLHMC-DN	03/16/12	\$34,910,769.44	0.260	\$34,995,100.00	\$89,230.56	\$90,768.00	09/30/11	168
FA	03/28/11 UN BANC	\$9,000,000.00	FLHMC-DN	03/19/12	\$8,991,590.28	0.070	\$8,996,500.00	\$4,909.72	\$17,484.11	09/30/11	171
FA	03/28/11 UN BANC	\$9,000,000.00	FLHMC-DN	03/28/12	\$8,986,245.00	0.250	\$8,997,100.00	\$8,855.00	\$14,984.11	09/30/11	180
FA	06/30/11 WF	\$30,000,000.00	FLHMC-DN	03/30/12	\$29,998,200.00	0.160	\$29,992,000.00	\$25,800.00	\$29,986.34	09/30/11	180
FA	04/07/11 WF	\$35,000,000.00	FNMA-DN	04/02/12	\$34,912,256.94	0.250	\$34,993,000.00	\$87,743.06	\$87,290.64	09/30/11	185
FA	04/04/11 WF	\$14,000,000.00	FLHMC-DN	04/04/12	\$14,102,060.00	1.000	\$14,055,020.00	\$37,940.00	\$37,370.46	09/30/11	187
FA	04/20/11 WF	\$16,000,000.00	FNMA-DN	06/01/12	\$16,256,880.00	1.875	\$16,142,720.00	\$41,120.00	\$40,647.20	09/30/11	203
FA	06/13/11 WF	\$16,000,000.00	FNMA-DN	06/01/12	\$16,064,895.00	0.810	\$16,093,200.00	\$35,105.00	\$35,626.28	09/30/11	245
FA	06/20/11 WF	\$23,150,000.00	FLHMC-DN	06/20/12	\$23,029,197.00	1.975	\$23,144,830.00	\$54,465.50	\$54,171.15	09/30/11	246
FA	06/20/11 WF	\$10,000,000.00	FLHMC-DN	06/20/12	\$9,999,900.00	0.230	\$9,999,400.00	\$0.00	\$9,999.00	09/30/11	246
FA	06/28/11 WF	\$30,000,000.00	FLHMC-DN	06/28/12	\$29,997,300.00	0.230	\$29,996,800.00	\$7,700.00	\$70,493.66	09/30/11	272
FA	06/29/11 MSSS	\$50,000,000.00	FLHMC-DN	06/29/12	\$49,998,000.00	0.230	\$49,985,000.00	\$17,700.00	\$174,995.00	09/30/11	273
FA	07/18/11 UN BANC	\$40,000,000.00	FNMA-C - **CALLABLE - 01/18/12	07/18/12	\$40,000,000.00	1.300	\$40,067,600.00	\$1,560,000.00	\$508,000.00	09/30/11	1022
FA	07/21/11 WF	\$40,000,000.00	FLHMC-C - **CALLABLE - 10-21-11	07/21/12	\$40,000,000.00	1.250	\$40,013,600.00	\$1,500,000.00	\$300,000.00	09/30/11	1025
FA	09/30/11 ML	\$40,000,000.00	FLHMC-C - **CALLABLE - 03-30-12	09/30/12	\$40,000,000.00	0.750	\$39,999,975.56	\$900,000.00	\$300,000.00	09/30/11	1096
FA	09/30/11 UN BANC	\$23,535,000.00	FLHMC-C - **CALLABLE - 03-30-12	09/30/12	\$23,535,024.44	0.750	\$23,535,024.44	\$31,450.50	\$162,871.07	09/30/11	1096
RP		\$996,635,667.24					\$997,372,689.08		\$259,099,023,952.06		
CD		\$0.00	0.0%		\$0.00		\$0.00		\$0.00		
MTN		\$0.00	0.0%		\$0.00		\$0.00		\$0.00		
CA GO REF BND	09/28/11 WF	\$10,000,000.00	CA GO BND	09/01/14	\$10,865,890.00	4.000	\$10,865,890.00	\$283,200.00	\$100,158.56	09/30/11	1067
CA GO REF BND	09/28/11 UN BANC	\$20,000,000.00	CA GO BND	09/01/14	\$21,728,600.00	4.000	\$21,728,600.00	\$566,400.00	\$200,312.12	09/30/11	1067
CA GO REF BND	09/28/11 WF	\$18,000,000.00	CA GO BND	09/01/14	\$19,566,180.00	4.000	\$19,566,180.00	\$539,820.00	\$190,770.26	09/30/11	1067
CA GO REF BND	09/28/11 WF	\$48,000,000.00	CA GO BND	09/01/14	\$52,226,580.00	3.2%	\$52,147,200.00	\$79,380.00	\$55,725,760,860.00		
CA RANS	09/22/11 WF	\$100,000,000.00		06/26/12	\$101,214,000.00	2.000	\$101,231,000.00	\$308,722.22	\$404,856.00	09/30/11	278
RABOBANK	05/19/10	\$50,000,000.00	6.7%		\$50,000,000.00	0.580	\$50,000,000.00	\$34,008.84	\$290,000.00	09/30/11	1
SWEEP		\$162,771,496.56	SWEEP	09/30/11	\$162,771,496.56	0.050	\$162,771,496.56	\$0.00	\$81,385.75	09/30/11	1
LAUF		\$50,000,000.00	L.A.L.F.		\$50,000,000.00	0.480	\$50,000,000.00	\$59,351.22	\$240,000.00	09/30/11	1
TOTAL		\$4,487,449,496.56			\$4,493,515,865.64		\$4,493,515,865.64	\$5,196,039.40	\$5,196,039.40		\$344,044,923,111.24

All investments are in compliance with the investment policy. The weighted average maturity of the investments within the treasury pool, assuming all callable bonds are held to maturity, is 230 days. Market values are based on information from the trustee, broker, the Wall Street Journal, or other sources approved by the treasurer. Based on revenue and expenditure projections and information known to the treasurer, the local agency will be able to meet its pool's expenditure requirement for the next 6 months.